



Policy, Finance and Development Committee	Tuesday, 26 March 2019	Matter for Information and Decision
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Report Title: **Internal Audit Operational Plan (2019/20)**

Report Author(s): **Stephen Hinds (Director of Finance & Transformation / Section 151 Officer)**

Purpose of Report:	This report details the Internal Audit Operational Plan for 2019/20.
Report Summary:	Appendix 1 to this report contains the proposed Internal Audit Operational Plan ("the Audit Plan") for 2019/20.
Recommendation(s):	That the Internal Audit Operational Plan for 2019/20 (as set out in Appendix 1) be approved.
Responsible Strategic Director, Head of Service and Officer Contact(s):	Stephen Hinds (Director of Finance & Transformation) (0116) 257 2891 stephen.hinds@oadby-wigston.gov.uk
Corporate Priorities:	Effective Service Provision (CP2)
Vision and Values:	Accountability (V1) Teamwork (V3) Innovation (V4)
Report Implications:-	
Legal:	There are no implications directly arising from this report.
Financial:	The implications are as set out in the report and appendices.
Corporate Risk Management:	Decreasing Financial Resources (CR1) Reputation Damage (CR4) Effective Utilisation of Assets/Buildings (CR5) Regulatory Governance (CR6) Organisational/Transformational Change (CR8) Increased Fraud (CR10)
Equalities and Equalities Assessment (EA):	There are no implications arising from this report. EA not applicable.
Human Rights:	There are no implications arising from this report.
Health and Safety:	There are no implications arising from this report.
Statutory Officers' Comments:-	
Head of Paid Service:	The report is satisfactory.
Chief Finance Officer:	As the author, the report is satisfactory.
Monitoring Officer:	The report is satisfactory.

Consultees:	Chief Finance Officer (Section 151 Officer)
Background Papers:	None.
Appendices:	<ol style="list-style-type: none"> 1. Internal Audit Operational Plan (2019/20) 2. Strategic Risk Register (March 2019)

1. Information

- 1.1 **Appendix 1** contains the proposed Internal Audit Operational Plan ("the Audit Plan") for 2019/20. The Audit Plan includes 20 audit assignments plus follow-ups, contingency and advice programmed over 270 days.
- 1.2 All audit areas are identified and linked to the Council's business objectives and strategic risks. The Council's current Strategic Risk Register is attached at **Appendix 2.**